



Albany County Sustainable Technology & Green Energy Grant Program Application

This Sustainable Technology & Green Energy Grant Program was developed pursuant to Albany County Local Law 1 for 2022 "ESTABLISHING THE SUSTAINABLE TECHNOLOGY AND GREEN ENERGY ACT. The purpose of the STAGE Grant Program is to support the retention, expansion and attraction of clean energy industries in Albany County. Grants will be provided based on applicant need and project impact, including levels of investment and job creation. For more information about the STAGE Grant, including eligibility, grant amounts and the review process please visit <https://www.advancealbanycounty.com/support/stage-grant-program>. Submit completed form to [email address]. Attach additional project information as needed to support your application.

GRANT APPLICATION

Part I. Applicant

Business Name: _____ Telephone: _____

Email Address: _____

Address: _____ City/State: _____ Zip: _____

Is this address your business headquarters: Yes No Year Established: _____

Type of Business:

Corporation S-Corp LLC Partnership Sole Proprietorship Other

If other, explain: _____

Check all that apply:

MWBE Service-Disabled Veteran-Owned Located in Opportunity Zone Located in Potential Environmental Justice Area

Tax Identification #: _____ NAICS Code: _____

Part II. Ownership of Applicant Company

List all principals with 20% or more Ownership (if applicable)

Name/Title: _____ % Owned: _____

Name/Title: _____ % Owned: _____

Name/Title: _____ % Owned: _____

Part III. Leadership

EO/President/Owner

Name: _____ Telephone: _____

Email Address: _____

CFO/Controller

Name: _____ Telephone: _____

Email Address: _____

Part V. Funding Request

Grant amounts will be determined based on project need and impact and are made at the discretion of the Alliance and County. Grant amounts will be capped based on job creation and retention commitments as follows:

- Grants in an amount up to \$250,000 may be provided for projects that generate or retain fewer than 50 FTE jobs in a five-year period.
- Grants in an amount up to \$1 million may be provided for projects that generate or retain between 50 and 200 FTE jobs in a five-year period.
- Grants in an amount up to \$3 million may be provided for projects that generate or retain more than 200 FTE jobs in a five-year period.

Grant Amount Requested*: _____ Total Cost of Project: _____

Would this project proceed in Albany County without a STAGE Grant? Yes No

Are you considering other locations for this project outside of Albany County? Yes No

Describe why grant funding is needed to advance this project and what grant funds will support:

*Applicants will be asked to complete Economic Impact Studies for awards greater than \$500,000.

STAGE Grants are primarily reimbursement based. Is any upfront funding necessary to advance this project? Yes No

If yes, please explain why: _____

Part VI. Alignment with County Priorities

Please describe how the project aligns with the [Albany County Strategic Economic Development Report](#) and/or the [STAGE Act](#):

Part VII. Green Technology

Choose the sector(s) that your project supports:

- Clean Energy Generation/Transmission
- Clean Transportation
- Clean Energy Storage
- Sustainable Agriculture
- Energy Efficiency
- Other, please describe: _____

Describe how your company/project produces or supplies equipment or technology that benefits the environment, conserves natural resources, or reduces greenhouse gas emissions.

Part VII. Project Timeline and Approvals

Please provide the estimated project timeline for all applicable major steps:

Design Start Date: _____	Certificate of Occupancy: _____
Permitting Start Date: _____	Equipment Order Placed: _____
Construction Start Date: _____	Equipment Installed: _____
Construction End Date: _____	Other: _____

List all approvals/permits that the project has received to date:

List all outstanding approvals/permits:

Part IX. Employment

Number of Current Employees Total: _____ Number of Current Employees in Albany County: _____

PROJECTED CONSTRUCTION EMPLOYMENT IMPACT

Please provide estimates of total construction jobs and the total annual wages and benefits of construction jobs at the Project:

Year	Number of Construction Jobs	Total Annual Wages and Benefits
Current Year		\$
Year 1		\$
Year 2		\$
Year 3		\$
Year 4		\$
Year 5		\$

PROJECTED PERMANENT EMPLOYMENT IMPACT

Please provide estimates of total number of existing permanent jobs to be preserved or retained as a result of the Project:

Year	Professional	Skilled	Semi-Skilled	Unskilled
Current Year				
Year 1				
Year 2				
Year 3				
Year 4				
Year 5				

Please provide estimates of total new permanent jobs to be created as a result of the Project:

Year	Professional	Skilled	Semi-Skilled	Unskilled
Current Year				
Year 1				
Year 2				
Year 3				
Year 4				
Year 5				

Provide the projected percentage of employment that would be filled by Albany County residents: _____

Provide a brief description of how the project expects to meet this percentage: _____

Part X. Sources and Uses

Purpose	Amount
A. Land-Related Costs Total	<i>Will automatically total amount.</i>
1. Land acquisition	\$
2. Site preparation	\$
3. Landscaping	\$
4. Utilities and infrastructure development	\$
5. Access roads and parking development	\$
6. Other land-related costs (describe) _____	\$
B. Building-Related Costs	<i>Will automatically total amount.</i>
1. Acquisition of existing structures	\$
2. Renovation of existing structures	\$
3. New construction costs	\$
4. Electrical systems	\$
5. Heating, ventilation and air conditioning	\$
6. Plumbing	\$
7. Other building-related costs (describe) _____	\$
C. Machinery and Equipment Costs Total	<i>Will automatically total amount.</i>
1. Production and process equipment	\$
2. Packaging equipment	\$
3. Warehousing equipment	\$
4. Installation costs for various equipment	\$
5. Other equipment-related costs (describe) _____	\$
D. Furniture and Fixture Costs Total	<i>Will automatically total amount.</i>
1. Office furniture	\$
2. Office equipment	\$
3. Computers	\$
4. Other furniture-related costs (describe) _____	\$
E. Professional Service Costs Total	<i>Will automatically total amount.</i>
1. Architecture and engineering	\$
2. Other service-related costs (describe) _____	\$
F. Other Costs Total	<i>Will automatically total amount.</i>
1.	\$
2.	\$
G. Sources of Funds	
1. Federal	\$
2. State	\$
3. Local	\$
4. Equity	\$
6. Bank	\$
7. Other	\$
Total	<i>Will automatically total amount.</i>

Part XI. Detail any Litigation Pending:

Are you and/or your business current on all tax obligations? Yes No

If no, explain: _____

Are you and/or your business delinquent in the payment of any loans or any other credit obligations? Yes No

If yes, explain: _____

Have you and/or your business been declared in default on any loans or any other credit obligations? Yes No

If yes, explain: _____

Have you and/or your business ever filed for bankruptcy? Yes No

If yes, explain: _____

Are there any unsatisfied judgments against you or your business? Yes No

If yes, explain: _____

Are you and/or your business a party to any threatened or pending lawsuits or other legal claims? Yes No

If yes, explain: _____

Part XII. Other Matters

Are you or any owner of your business a candidate for public office, a public official or an immediate family member of such an official, or a business entity formed by or for the benefit of any public official? Yes No

If yes, explain: _____

Are you or any owner an employee of the County of Albany or any affiliated entity? Yes No

If yes, explain: _____

Does your business involve the use, production, transportation or storage of hazardous materials other than the usual manufacturing supplies?

Yes No

If yes, explain: _____

Part XIII. Certifications:

Applicant entity must be in substantial compliance with all federal, state, and local worker protection and environmental laws and regulations, as applicable, and may not be in arrears regarding its federal, state, or local tax obligations; provided, however; in the case of a tax certiorari proceeding, a business entity would not be considered in arrears until a final decision is made with respect to such proceeding.

I/We authorize the Advance Albany County Alliance LDC ("AACALDC") to contact references, obtain credit reports and make any other inquiries as AACALDC deems necessary to verify the accuracy of the statements made and to determine my/our worthiness for the Grant. I/We certify to the AACALDC that I/we have included all relevant information in response to the questions contained in this application and that all information disclosed in this application, or any accompanying statements is true, complete, and accurate.

I/We acknowledge that the AACALDC will rely upon the accuracy of the content of this application and any accompanying statements in deciding to provide Grant funds or to accept a guaranty thereof, and that this application is not a commitment on the part of AACALDC to offer a Grant.

I /We further promise that the proceeds of this Grant will be used solely for the purposes outlined above and will not be used for personal, family, household, or other business purposes.

I/We understand that AACALDC will retain this application whether or not it is approved.

I/We understand that this application will be considered a public record and may be subject to public access in full or in part pursuant to the Freedom of Information Law ("FOIL"), Article 6 (Sections §84- §90) of the NYS Public Officers Law.

I/We understand that grant funds provided by the AACALDC will we subject to the Alliance's Recapture Benefits Policy.

Signature

Print Name

Title

Date

Signature

Print Name

Title

Date